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1.1 Introduction to AES

- ▶ Ref: "The Design of Rijndael" (Joan Daemen and Vincent Rijmen) Springer 2002
- Rijndael announced as the winner of the AES competition in October 2000
 - Designed by Rijmen and Daemen
- AES is a symmetric cipher
 - Blocksize is 128 bits viewed as a 4 by 4 matrix of bytes
 - Keys are 128, 192 or 256 bits
- In this talk we concentrate on AES-128 with 128 bit key
- AES-128 has 10 rounds
- AES-192 has 12 rounds
- AES-256 has 14 rounds
- > The 128, 192 or 256 bit key is expanded to provide enough key bits to encrypt each round

1.2 AES-128 Round Structure

AES-128 works on a State that is 4 by 4 matrix of 8 bit bytes

```
AES(State, CipherKey)
```

```
{
  KeyExpansion(CipherKey, ExpandedKey)
  AddRoundKey(State, ExpandedKey[0])
  for i=1:9
     Round(State,ExpandedKey[i])
  end
  FinalRound(State, ExpandedKey[10])
```

```
}
```

1.3 AES-128 Round Structure

```
Round(State, ExpandedKey[i])
{
Subbytes(State)
ShiftRows(State)
MixColumns(State)
AddRoundey(State, ExpandedKey[i]
}
```

```
FinalRound(State, ExpandedKey[i])
{
SubBytes(State)
ShiftRows(State)
AddRoundKey(State, ExpandedKey[i])
```

1.4 AES-128 Round Structure - SubBytes



SubBytes: $a \rightarrow f(a \uparrow -1) = b$

find inverse of a in GF(278) followed by an affine transformation f An affine transformation is a linear mixing and shift of the bits of a7-1

- Note 1: SubBytes is the only non-linear part of AES and operates on each byte individually
- Note 2: XOR is a linear function in *GF*(2) and *GF*(218) which is defined by the irreducible polynomial m(x)=x18+x14+x13+x+1

1.5 AES-128 Round Structure – SubBytes (Contd)

- SubBytes Affine Transformation

1.6 AES-128 Round Structure - ShiftRows

a0	b0	c0	d0	ShiftRows	a0	b0	c0	d0
a1	b1	с1	d1		b1	c1	d1	a1
a2	b2	c2	d2		c2	d2	a2	b2
а3	b3	c3	d3		d3	а3	b3	c3

Row 0 is not shifted

Row 1 is circularly left shifted by 1

Row 2 is circularly left shifted by 2

Row 3 is circularly left shifted by 3

Note: ShiftRows preserves the XOR of the row bytes and the total XOR of all the bytes

1.7 AES-128 Round Structure - MixColumns

a0	b0	c0	d0	MixColumns	A0	B0	C0	D0
a1	b1	c1	d1		A1	B1	C1	D1
a2	b2	c2	d2		A2	B2	C2	D2
a3	b3	c3	d3		A3	В3	C3	D3

(■■2&3@1&2 &■1&1@3&1 @■1&1@3&1

 $\& \blacksquare 2 \& 3 @ 1 \& 2) (\blacksquare \blacksquare a 0 @ a 1 @ \blacksquare a 2 @ a 3) = (\blacksquare \blacksquare A 0 @ A 1 @ \blacksquare A 2 @ A 3)$

Addition is performed over GF(2)

Multiplication over *GF*(2*t*8)

Note: MixColumns preserves the XOR of the columns and the total XOR of all the bytes

1.8 Key Expansion

- Ref: "Analysis of Block Cipher Constructions against Biclique and Multiset Attacks", PhD Thesis by Mohona Ghosh, 2016
- Key Expansion of AES-128 (example)
- Begin with 128 bit key prepared as 4x4 byte state array
- Form 4 32-bit words from the columns. Iterate key schedule to obtain enough key for each of the rounds



2.1 Design Principles of AES – Linear Cryptanalysis

- Linear Cryptanalysis: Correlations and Linear Trails
- The correlation between two Boolean functions f and g is
- C(f,g)=2.Prob(f(a)=g(a))-1
- ▶ $-1 \leq \mathcal{C}(f,g) \leq 1$
- A parity function is the XOR of a number of bits
- Given a non-linear function S we can calculate the correlation of a parity function to it
- SubBytes() has been chosen such that the maximum correlation of a parity function is 2f-3
- Given a sequence of rounds we can identify a corresponding linear trail through it which is a sequence of parity functions. We multiply the corresponding correlations of the parity functions to get the correlation of the linear trail. For AES, this gives the maximum of 2*1*−75 for the correlation for any four round linear trail.

2.2 Design Principles of AES – Differential Cryptanalysis

- **Differential Cryptanalysis**: Consider two n bit vectors a and $a\uparrow *$ where $a+a\uparrow *=a\uparrow '$ a fixed difference pattern.
- Let b=h(a), $b\uparrow *=h(a\uparrow *)$ and $b+b\uparrow *=b\uparrow '$ the difference $a\uparrow '$ propagates to the difference $b\uparrow '$.
- The difference propagation probability is $Prob(a1', b1') = 21 - n \sum a1 \otimes \delta(b1' + h(a + a1') + h(a))$
- The weight of a difference propagation is $w(al', bl') = -log \downarrow 2 (Prob(al', bl'))$
- A differential trail is a sequence of difference patterns:

 $\mathsf{D}=(d \uparrow 0, d \uparrow 1, d \uparrow 2, \dots d \uparrow r-1, d \uparrow r)$

The weight of a differential trail is the sum of the weights its differential steps

 $w(D) = w(d\uparrow 0, d\uparrow 1) + w(d\uparrow 1, d\uparrow 2) + ...w(d\uparrow r - 1, d\uparrow r)$

SubBytes has a differential weight of at least 6 meaning a differential propagation probability of at least 2f-6. This gives a minimum weight of 150 for any four round differential trail.

2.3 Design Principles of AES

Results

- 1. There are no 8 round correlations above $2\uparrow -80$
- > 2. There are no 8 round differential trails with a weight below 300
- "We consider this sufficient to resist differential and linear attacks" (designers of Rijndael)

2.4 AES - Square Attack – 3 Round Property

- Square Attack (aka Saturation Attack, Integral Attack, Partial Sums) Chosen Plaintext attack originally identified by the designers of AES and improved upon since then (Ferguson et al 2000). We first describe a 3-round property. (Ref Gosh PhD Thesis)
- We input 278 plaintexts which are all 0 except for the first byte which varies over all 278 values. We track the XOR of the bytes at each byte position through 3 rounds of AES. The XOR of the bytes is 0 at every byte position through 3 rounds. X means byte position is active.



2.5 AES - Square Attack – 3 Round Property



Tracking Active Byte Positions

Turns out the property of XOR byte positions = 0 goes through to 3 rounds by analyzing the properties of AES's third round application of the linear function MixColumns (next slide)

2.5 AES - Square Attack – 3 Round Property



2.6 AES - Square Attack – 4 Round Property



Add New First Round 2*1*32 Plaintexts at Beginning of 3-Round Property

- We can extend this to a 4-round property by using 2732 plaintexts where the 4 active bytes vary over all possible 2732 byte combinations
- At the end of 4-rounds the XOR of the bytes at each byte position is still 0
- ▶ We can add a key assumption at rounds 5 and 6 to get a 6 round attack work factor 2744 an improvement due to Ferguson et. al. 2001 from the original 2772

2.7 AES - Square Attack

Ref: "Improved "Partial Sums" – based Square Attack on AES", Tunstall (2012)

	Rounds	Key Length	Memory	Acquisitions	Complexity
[5]	5	generic	_	2^{11}	2^{40}
This paper	5	128	_	2^{8}	2^{38}
This paper	5	192	_	$2 \cdot 2^8$	$2^{38.5}$
This paper	5	256	_	$2 \cdot 2^8$	2^{39}
[5]	6	generic	_	$5 \cdot 2^{32}$	2^{72}
[7]	6	generic	2^{32}	$6 \cdot 2^{32}$	2^{44}
This paper	6	128	2^{40}	2^{32}	2^{42}
This paper	6	192	_	$2 \cdot 2^{32}$	$2^{42.5}$
This paper	6	256	-	$2 \cdot 2^{32}$	2^{43}
[10]	7	192	232	2^{32}	2^{176}
Ferguson et al. [7]	7	192	2^{32}	$19 \cdot 2^{32}$	2^{155}
This paper	7	192	_	$2 \cdot 2^{32}$	2^{154}
[10]	7	256	232	2^{32}	2^{192}
[7]	7	256	2^{32}	$21 \cdot 2^{32}$	2^{172}
This paper	7	256	_	$2 \cdot 2^{32}$	2^{171}

Ref: "Implementation and Improvement of the Partial Sum Attack on 6-round AES", Alda, Aragona, Nicolodi, Sala (2014)

Number of $\bar{\Delta}$ -sets	Average time (days)	Memory (GB)
2	12.1	1.028
3	11.5	1.542

2.8 Full AES - Biclique Attack

- Ref: Analysis of Block Cipher Constructions against Biclique and Multiset Attacks, PhD Thesis by Mohona Ghosh, 2016
- Summary: Key recovery with bicliques for full AES. CC=Chosen Ciphertext, CP=Chosen Plaintext

Algorithm	Rounds	Data	Time	Biclique length	Ref.
		Complexity	Complexity	(rounds)	
	10	2^{88} CC	$2^{125.69\dagger}$	2.5	[48]
AES-128	10	2^{88} CC	$2^{126.16}$	2.5	[39]
	10	2^{72} CC	$2^{126.72}$	2.5	[5]
	10	2^4 CP	$2^{126.89}$	2.5	[38]
AES-102	12	2^{80} CC	$2^{190.16}$	3.5	[39] $[5]$
AE5-132	12	2^{48} CC	$2^{190.28}$	3.5	[5]
AES-256	14	2^{40} CC	$2^{254.42}$	3.5	[39]
AE0-200	14	2^{64} CC	$2^{254.53}$	3.5	[5]

[†] Our analysis estimates the cost as 2^{125.98}.

3.1 Quantum Cryptanalysis of AES

- Ref: "Applying Grover's algorithm to AES: quantum resource estimates", Grassl, Langenberg, Roetteler, Steinwandt Quant-ph 1512.04965 15 December, 2015
- Problem: Given AES-128 and 3 plaintext/ciphertext pairs, find the secret key K
- Solution (roughly):
 - Step 1: $|\psi \downarrow 1 \rangle = 1/\sqrt{2} \uparrow 128 \quad \Sigma \uparrow III K > |0\rangle$ uniform superposition of all $2 \uparrow 128$ keys
 - Step 2: $|\psi l^2 \rangle = 1/\sqrt{2} 128 \sum k |AESlk(m)\rangle$ computation of AES with key K to plaintext m
 - Step 3: $|\psi \downarrow 2 \rangle = 1/\sqrt{2} \uparrow 128 \sum k (m) = c \downarrow m \rangle$ test equality with known ciphertext $c \downarrow m$
 - Step 4: apply Grover's algorithm to find which key K gives the equality value 1 versus the inequality value 0
- Main costs: Computation of AES, Computation of Grover's algorithm

3.2 Quantum Cryptanalysis of AES (Contd)

- Ref: "Applying Grover's algorithm to AES: quantum resource estimates", Grassl, Langenberg, Roetteler, Steinwandt Quant-ph 1512.04965 15 December, 2015
- Grover's Cost (well known):
 - To find 1 item in an N long list costs $O(\sqrt{N})$. Here N=27128, 27192, 27256
- AES-k cost (Note quantum circuits are fully reversible)

	#gates			d	epth	#qubits	
	NOT	CNOT	Toffoli	T	overall	storage	ancillae
128	176	21,448	20,480	5,760	12,636	320	96
192	136	17,568	16,384	4,608	10,107	256	96
256	215	27,492	26,624	7,488	16,408	416	96

Table 1. Quantum resource estimates for the key expansion phase of AES-k, where $k \in \{128, 192, 256\}$.

3.3 Quantum Cryptanalysis of AES (Contd)

Ref: "Applying Grover's algorithm to AES: quantum resource estimates", Grassl, Langenberg, Roetteler, Steinwandt Quant-ph 1512.04965 15 December, 2015

	#ga	ites	de	#qubits	
	T	Clifford	T	overall	
Initial	0	0	0	0	128
Key Gen	143,360	185,464	5,760	12,626	320
10 Rounds	917,504	1,194,956	44,928	98,173	536
Total	1,060,864	1,380,420	50,688	110,799	984

Table 2. Quantum resource estimates for the implementation of AES-128.

3.4 Quantum Cryptanalysis of AES (Contd)

Ref: "Applying Grover's algorithm to AES: quantum resource estimates", Grassl, Langenberg, Roetteler, Steinwandt Quant-ph 1512.04965 15 December, 2015

	#ga	tes	de	#qubits	
	T	Clifford	T	overall	
Initial	0	0	0	0	192
Key Gen	114,688	148,776	4,608	10,107	256
12 Rounds	1,089,536	1,418,520	39,744	86,849	664
Total	1,204,224	1,567,296	44,352	96,956	1,112

Table 3. Quantum resource estimates for the implementation of AES-192. The lower gate count in Key Gen and the lower depth, when compared to AES-128, arises from using the additional available space to store intermediate results and to parallelize parts of the circuit.

3.5 Quantum Cryptanalysis of AES (Contd)

Ref: "Applying Grover's algorithm to AES: quantum resource estimates", Grassl, Langenberg, Roetteler, Steinwandt Quant-ph 1512.04965 15 December, 2015

	#ga	ites	(#qubits	
	T	Clifford	T	overall	
Initial	0	0	0	0	256
Key Gen	186,368	240,699	7,488	16,408	416
14 Rounds	1,318,912	1,715,400	52,416	114,521	664
Total	1,505,280	1,956,099	59,904	130,929	1,336

• :

Table 4. Quantum resource estimates for the implementation of AES-256.

3.6 Quantum Differential and Linear Cryptanalysis

- Ref: "Quantum Differential and Linear Cryptanalysis", Kaplan, Leurent, Leverrier, Naya-Plasencia (2017)
- The authors examine differential and linear cryptanalysis in two settings defined as follows (PRP = Pseudo Random Permutation
 PRF = Pseudo Random Function)
- Standard security: a block cipher is standard secure against quantum adversaries if no efficient quantum algorithm can distinguish the block cipher from PRP (or a PRF) by making only classical queries (Q1) (i.e. can make classical encryption queries)
- Quantum security: a block cipher is quantum secure against quantum adversaries if no efficient quantum algorithm can distinguish the block cipher from PRP (or a PRF) even by making quantum queries (Q2) (i.e. can make quantum encryption queries)

3.7 Quantum Differential and Linear Cryptanalysis

- Ref: "Quantum Differential and Linear Cryptanalysis", Kaplan, Leurent, Leverrier, Naya-Plasencia (2017)
- Results:
- Differential cryptanalysis and linear cryptanalysis usually offer a quadratic gain in the Q2 model over the classical model.
 - If a block cipher is resistant to a classical linear or differential cryptanalysis attack costing at least $2\hbar k$ then it is also resistant the corresponding quantum linear or differential cryptanalysis attacks cost at least $2\hbar k/2$
- In the Q1 model cryptanalytic attacks might offer little gain over the classical model when the key-length is the same as the block length (e.g. AES-128)
- The gain of cryptanalytic attacks in the Q1 model can be quite significant (similar to the Q2 model) when the key length is longer (e.g. AES-256) than the block length

3.8 Breaking Symmetric Cryptosystems using Quantum Period Finding

- Ref: "Breaking Symmetric Cryptosystems using Quantum Period Finding", Kaplan, Leurent, Leverrier, Naya-Plasencia (2016)
- Results: CBC-MAC, GMAC, GCM and PMAC, OCB are all <u>broken</u> by forgery attacks using their method
- The author's take advantage of Simon's problem and algorithm:

Simon's problem: Given a Boolean function $f : \{0,1\}^n \to \{0,1\}^n$ and the promise that there exists $s \in \{0,1\}^n$ such that for any $(x,y) \in \{0,1\}^n$, $[f(x) = f(y)] \Leftrightarrow [x \oplus y \in \{0^n, s\}]$, the goal is to find s.

Note: Simon's algorithm (next slide) was one of the first to show exponential speedup of a quantum algorithm.

3.9 Breaking Symmetric Cryptosystems using Quantum Period Finding

- Ref: "Breaking Symmetric Cryptosystems using Quantum Period Finding", Kaplan, Leurent, Leverrier, Naya-Plasencia (2016)
- Simon's Problem can be solved using Simon's Algorithm which is repeated O(n) times
 - 1. Starting with a 2n-qubit state $|0\rangle|0\rangle$, one applies a Hadamard transform $H^{\otimes n}$ to the first register to obtain the quantum superposition

$$\frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} |x\rangle |0\rangle.$$

2. A quantum query to the function f maps this to the state

$$\frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} |x\rangle |f(x)\rangle$$

3. Measuring the second register in the computational basis yields a value f(z) and collapses the first register to the state:

$$\frac{1}{\sqrt{2}}(|z\rangle + |z \oplus s\rangle).$$

4. Applying again the Hadamard transform $H^{\otimes n}$ to the first register gives:

$$\frac{1}{\sqrt{2}} \frac{1}{\sqrt{2^n}} \sum_{y \in \{0,1\}^n} (-1)^{y \cdot z} \left(1 + (-1)^{y \cdot s}\right) |y\rangle.$$

5. The vectors y such that $y \cdot s = 1$ have amplitude 0. Therefore, measuring the state in the computational basis yields a random vector y such that $y \cdot s = 0$.

3.10 Breaking Symmetric Cryptosystems using Quantum Period Finding

- Ref: "Breaking Symmetric Cryptosystems using Quantum Period Finding", Kaplan, Leurent, Leverrier, Naya-Plasencia (2016)
- Example: CBC-MAC

 $x_0 = 0$ $x_i = E_k(x_{i-1} \oplus m_i)$ CBC-MAC(M) = $E_{k'}(x_\ell)$



Fig. 9. Encrypt-last-block CBC-MAC.

Fix two arbitrary message blocks α_0, α_1 , with $\alpha_0 \neq \alpha_1$ define the function f:

$$f: \{0,1\} \times \{0,1\}^n \to \{0,1\}^n$$

$$b, x \mapsto \text{CBC-MAC}(\alpha_b \parallel x) = E_{k'} \left(E_k (x \oplus E_k(\alpha_b)) \right)$$

• Note: Assumption is that we have quantum access to CBC-MAC using $E \downarrow k$ and $E \downarrow k \uparrow'$

3.11 Breaking Symmetric Cryptosystems using Quantum Period Finding

- Ref: "Breaking Symmetric Cryptosystems using Quantum Period Finding", Kaplan, Leurent, Leverrier, Naya-Plasencia (2016)
- Example: CBC-MAC
- Simon's algorithm returns "s" which is defined as:

 $1 \parallel E_k(\alpha_0) \oplus E_k(\alpha_1)$

- ► $CBC-MAC(\alpha \downarrow ||m) = E \downarrow k \uparrow' (E \downarrow k (E \downarrow k (\alpha) \oplus m))$
- Let $T \downarrow 0 = CBC MAC(\alpha \downarrow 0 \mid /m \downarrow 1)$ for an arbitrary message block $m \downarrow 1$
- Let $T \downarrow 1 = CBC MAC(\alpha \downarrow 1 \mid /m \downarrow 1 \oplus E \downarrow k (\alpha \downarrow 0) \oplus E \downarrow k (\alpha \downarrow 1))$
- ► Then $T \downarrow 0 = T \downarrow 1$ (i.e. a forgery) since we know $E \downarrow k (\alpha \downarrow 0) \oplus E \downarrow k (\alpha \downarrow 1)$

4. References

- "The Design of Rijndael", Joan Daemen and Vincent Rijmen Springer 2002
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5. Questions?

 Contact Information: David Cornwell, PhD <u>Cornwell_david@bah.com</u>

(410) 684 6579

Address: Booz Allen Hamilton 304 Sentinel Drive (NBP) Annapolis Junction MD 20701 USA